



VanDerNoord Financial Advisors, Inc. Service and Fee Disclosure

Version 9-2020

	BASIC	PRO	CONCIERGE
Data Gathering Meeting	✓	✓	✓
Goals Analysis	✓	✓	✓
Portfolio & Cash Flow plan	✓	✓	✓
Risk Management and Legacy Plan			✓
Plan Review Meeting			✓
Goals Funding Report & Portfolio Performance - Quarterly	✓	✓	✓
Daily Monitoring and Monthly Portfolio Valuation Updates	✓	✓	✓
Monthly E-memo	✓	✓	✓
Portfolio Selection	✓	✓	✓
Portfolio Oversight and monitoring	✓	✓	✓
Portfolio Rebalancing	✓	✓	✓
Cost Basis Tracking	✓	✓	✓
Account Maintenance	✓	✓	✓
Required Minimum Distribution Calculation and Compliance	✓	✓	✓
Interim Reviews as needed	✓	✓	✓
Annual Audit of Financial Plan		✓	✓
Priority Access to Advisor and staff		✓	✓
Surviving Spouse Services			✓
Limited Consultation to Family Members (preapproval required)			✓
Access to Office Equipment			✓
Document Storage			✓
Action Alerts			✓
Managed Asset Minimums	\$500k	\$750k	\$1mil
Asset-based Fee (on all agreed-upon assets)			
\$0 - \$500,000	1.30%	1.30%	1.30%
\$500,000 - \$1,000,000	1.20%	1.20%	1.20%
\$1,000,000 - \$2,000,000	1.10%	1.10%	1.10%
\$2,000,000 - \$2,250,000	0.60%	0.60%	0.60%
>\$2,250,000	0.00%	0.00%	0.00%
Service-based Fee			
• First Year <i>(waived with AUM >\$2,250,000)</i>	n/a	n/a	\$5,000
• Subsequent Years -billed \$500/Quarter	n/a	n/a	\$2,000
• Per Occurrence - \$250/hour - \$1,000 minimum	TBD	TBD	TBD